

# STANLIB MULTI-MANAGER NCIS HEDGE FUNDS NEW SUB BROKER FORM



Novare CIS (RF) (Pty) Ltd | Registration Number: 2013/191159/07 | SARS Registration Number: 9649/248/16/9  
STANLIB Multi-Manager (Pty) Ltd | Registration number 1999/012566/07 | FSP Number: 763

- All sections must be completed in full
- Select applicable boxes with a cross [X]
- Initial any amendments made to the form
- Ensure all information provided is accurate and true
- Your instruction will be processed once all requirements have been met and supporting documentation as per Annexure A has been provided

- Completed forms are to be faxed to **087 150 1551** or e-mailed to **hedgetransact@novare.com**
- Should you have any queries regarding this application, please contact Novare CIS (RF) (Pty) Ltd ("Novare") on **0800 668 273 (0800 novare)** or e-mail **clientservice@novare.com**

## (A) FINANCIAL ADVISOR PERSONAL DETAIL

Title:  Surname:

First name(s):

ID or passport number:                      Gender:  M  F

Postal address:

Code:

Residential address:

Code:

Home Telephone: (  code )      Work Telephone: (  code )

Cellphone Number: (  code )      Fax: (  code )

E-mail address:

## Domestic Prominent Influential Person (DPIP) or Foreign Prominent Public Official (FPPO)

In terms of Financial Intelligence Centre Act (FICA), Novare is an accountable institution and is required to establish the risk profile of its clients. This includes determining whether a client is a **Domestic Prominent Influential Person (DPIP)** or **Foreign Prominent Public Official (FPPO)**.

A **DPIP** is a term that describes an individual who holds, including in an acting position for a period exceeding 6 (six) months, or has held at any time in the preceding 12 (twelve) months, in the Republic a prominent public function

A **FPPO** is a term that describes an individual who holds, or has held at any time in the preceding 12 (twelve) months, in any foreign country a prominent public function

I consider myself to be, or to be associated with a **DPIP** or **FPPO**?

Y  N

If yes, please provide details:

Signature on behalf of FSP

Date

**Documentation required** to be submitted with this application form. Please supply copy of ID and copy of proof of address (less than three months old) with this application form.

Financial Advisor

Date

### Novare CIS (RF) (Pty) Ltd

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